

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Eric M. Breitung et al.

: Group Art Unit:

Serial No.:

: Examiner:

Filed:

For: DELIVERY SYSTEM FOR PECVD POWERED ELECTRODE

INFORMATION DISCLOSURE STATEMENT

☒ 1 Pursuant to 37 CFR 1.97 (b)[within 3 months of national, non-CPA filing or prior to 1st Office Action] *no charge*☐ 2 Certification Pursuant to 37 CFR 1.97(c)(1)[before Final Office Action, Allowance, or other action closing prosecution] *no charge*☐ 3 Fee Payment Pursuant to 37 CFR 1.97(c)(2)[before Final Office Action, Allowance, or other action closing prosecution] *\$180.00*☐ 4 Certification & Fee Payment Pursuant to 37 CFR 1.97(d)[On or before issue fee payment] *\$180.00*

ATTN: BOX PATENT APPLICATION

Assistant Commissioner for Patents

Washington, DC 20231

Sir:

The following are submitted in the above identified application in compliance with 37 CFR 1.97 and 37 CFR 1.98:

- ☒ 5 A list of documents on form PTO-1449 or Substitute together with copies of each identified document and a translation thereof or a concise explanation of each non-English language document or a Search Report from an International Searching Authority for a patent application filed via the Patent Cooperation Treaty or document(s) cited in the application or the priority application.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date indicated below.

Date of Deposit

Type or Print Name

Signature

This paper is submitted in accordance with:

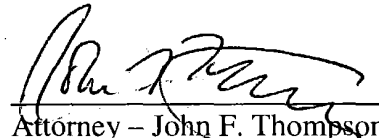
- ☒ 6 37 CFR 1.97(b): [within 3 months of national, non-CPA filing or prior to 1st Office Action]
- ☐ 7 37 CFR 1.97(c): [before Final Office Action, Allowance, or other action closing prosecution, whichever is earlier]; and
- ☐ 8 The required Certification made in item 11 below; or
- ☐ 9 The \$180.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in item 15 below.
- ☐ 10 37 CFR 1.97(d): [on or before issue fee payment]; and
- a) The required Certification is stated in item 11 below; and
- b) The \$180.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
- ☐ 11 Certification
- ☐ 12 Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
- ☐ 13 No item contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this document after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR §1.56(c) more than three (3) months prior to the filing date of this Statement.
- ☒ 14 Payment of all applicable fees:
- ☒ 15 Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 07-0868.

An original and two (2) copies of this document are enclosed.

Respectfully submitted,

July 16, 2003

Date



Attorney - John F. Thompson

Registration No. 43,953

Phone: (518) 387-6275

or (518) 387-7122

General Electric Company
Patent Docket Rm 4A59
P.O. Box 8, Bldg. K-1 - Ross
Schenectady, New York 12301
Customer Number: 006147

FORM PTO-1449 (REV. 7-80)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. 121277	SERIAL NO.
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <u>LIST OF ITEMS</u>				Applicant Eric M. Breitung et al.	
				Filing Date	Group

U.S. PATENT DOCUMENTS						
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA 6,194,628	02/27/01	Pang et al.			
	AB 6,182,603	02/06/01	Shang et al.			
	AC 5,959,409	09/28/99	Dornfest et al.			
	AD					
	AE					

FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	AF						
	AG						
	AH						
	AI						
	AJ						

OTHER INFORMATION (Including Author, Title, Date, Pertinent Pages, etc.)		
	AK	
	AL	
	AM	
	AN	
	AO	
	AP	

EXAMINER	DATE CONSIDERED
----------	-----------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant